

INITIATIVE FOR EXCELLENCE – RESEARCH UNIVERSITY



COMPETITION REGULATIONS

"The system of University mini-grants for research carried out by a Young Scientist"

(Action 4)

§1 GENERAL PROVISIONS

1. For the purpose of the Competition Regulations:

AGH - means AGH University of Science and Technology in Cracow;

IDUB – stands for the Initiative for Excellence - Research University programme at AGH.

POB - means the Priority Research Area as defined in the AGH application for the competition "Initiative for Excellence - Research University",

Unit - stands for a basic organisational entity of AGH or another entity of AGH carrying out research activities,

Regulation – is to be understood as these regulations of the competition "System of university mini-grants for research works carried out by a Young Scientist",

Competition - is to be understood as the competition for the "System of university minigrants for research work carried out by a Young Scientist", as specified in the Regulation **Evaluation Criteria -** shall be understood as the rules of awarding mini-grants to a Young Scientist within this competition,

Mini-grant – stands for funds for scientific activities, awarded through a competition, in compliance with these Regulations and Evaluation Criteria,

Young Scientist - is to be understood as a researcher who, in the calendar year of the application for funding, is under 35 years of age,

Applicant – stands for a Young Scientist employed at AGH as an assistant or adjunct, and who applied for funding through the Competition,

Competition Beneficiary – means a participant of the competition procedure, whose application has been qualified for funding.

- 2. The Regulations specify the principles and conditions of participation in the Competition.
- 3. The Competition is organized by the AGH University of Science and Technology in Cracow.
- 4. The contents of these Regulations shall be communicated to AGH employees and doctoral students and shall be available on the AGH website for the duration of the Competition: https://www.agh.edu.pl/uczelnia-badawcza/.
- 5. The announcement of the Competition shall include the deadline for the submission of applications and the maximum amount of funds allocated for a given Competition.

§2 Objectives of the Competition

The aim of the competition is to improve conditions for Young Scientists to conduct research and popularize it.

§ 3 Subject matter of the Competition

- 1. The aim of the Competition is to grant fund for:
 - Research,
 - Participation in conferences, scientific internships and trainings.

- 2. Scope of evaluation, subject to the provisions of § 5 below:
 - a. Scientific aim and significance of the project,
 - b. Anticipated results of the undertaken subject,
 - c. Innovative character and level of the planned research,
 - d. Interdisciplinary character,
 - e. Justification of costs,
 - f. Applicant's achievements to date.
- 3. The maximum amount of PLN 18,000 (eighteen thousand PLN) is granted for the period of 10 months.
- 4. The period of Mini-grant realization can be prolonged by maximum 1 month.

§ 4 Conditions of participation

- 1. The Applicant should present a document certifying the completion of the course "Nature Masterclass on publication preparation"
- 2. The Competition Beneficiary is obliged to complete the course "Nature Masterclass Effective Collaboration in Research Course" prior to the realization of the grant. The mini-grant realization should begin no later than 2 months from the announcement of the Competition results.
- 3. An Applicant who has already been a Beneficiary of previous calls should demonstrate a document confirming the completion of the "Nature Masterclass in Interpreting Scientific Results or Advanced Scientific Presentations" and as a Beneficiary of the current call the Applicant is obliged to complete the "Nature Masterclass in Persuasive Grant Writing or Finding Funding Opportunities" before the start of the Mini-grant implementation.
- 4. Applications should be submitted electronically via the HYDRA system, available at https://wnioski.idub.agh.edu.pl. Only applications which are complete and meet all the requirements specified in the Regulations and in the Competition announcement will be accepted.
- 5. The application should contain:
 - a. The purpose and scope of the research,
 - b. A substantive justification for the relevance of the proposed research,
 - c. Applicant's scientific achievements to date,
 - d. Grant cost estimate, split into categories:
 - e. materials,
 - f. services,
 - g. trips scientific conferences, internships, trainings.
 - h. The expected results, i.e. publications, conference presentations or know-how,
 - i. The substantive relevance of the trip to the declared POB,
 - j. The impact on IDUB project indicators.
- 6. A pdf file of certificates confirming completion of the Nature Masterclass should be attached to the application.
- 7. An application is considered to have been submitted if approved by the Head of Chair/Department (or Institute Director) and the Head of the Unit.
- 8. Tasks that are already funded under another IDUB competition cannot be funded under the Competition
- 9. Tasks that are already funded under another IDUB competition cannot be funded under the Competition.
- 10. The applicant may not apply in the next competition:
 - a. until the financial and material resources received under the previously allocated funding in the action have been cleared,
 - b. if the final report on the implementation of a grant awarded and financed under previous editions of the action fails to be accepted by the committee.

§ 5 Principles of application evaluation

- 1. Applications are subject to formal and quality evaluation.
- 2. Formal assessment of applications is performed by the IDUB Project Office and it covers:
 - a. verification of the application for its completeness;
 - b. checking the conformity of the application to the Regulations and the Call for Proposals,
 - c. checking the conformity of the planned expenses in the application to the rules defined in \S 6.
- 3. Applications which have passed the formal verification may be submitted to substantive evaluation.
- 4. An application may be rejected for formal reasons also at the stage of substantive evaluation referred to in paragraph 5 and other below.
- 5. The substantive evaluation of applications is performed by a Committee appointed for this purpose by the IDUB Project Manager, hereinafter referred to as the "Committee".
- 6. Substantive evaluation of applications takes into account the criteria set out in the Call for proposals.
- 7. During the evaluation the application is given a score an auxiliary measure and a starting point for the discussion on the final rank.
- 8. The Committee bases its final evaluation on the analysis of the application, merits of financing this particular project in view of other applications assessed in the competition.
- 9. The final assessment of an application corresponds to its position on the ranking list made by the Committee.
- 10. The Committee recommends these applications, in which the total requested funds do not exceed the funds established by the IDUB Programme Council for a given competition.
- 11. The Committee may conditionally recommend for funding one application which is partly within the amount of funds available for a given competition.
- 12. The decision on financing applications is made by the Project Manager based on the ranking list.

§ 6 Eligible costs

- 1. Eligible costs under the grant:
 - a. Materials and non-durable assets (under PLN 10,000 of value, i.e. ten thousand PLN),
 - b. Business trips, scientific conferences, internships, visits, consultations,
 - c. External services.

The total co-financing for points a), b) and c) may not exceed PLN 18,000 PLN (i.e. eighteen thousand PLN).

- 2. The Head of the Unit shall declare own contribution not smaller than the amount of allotted co-financing. A maximum of 45% of the amount of the Competition Beneficiary's remuneration charged during the implementation period of the Mini-grant may constitute the Unit's own contribution.
- 3. The own contribution may be covered from:
 - Research subsidy booked in a separate account 16.16.Unit No. 7998;
 - 2) Other sources booked in an off-balance account 897.Unit No.;
- 4. The financial management of the Programme is carried out by the Unit and submitted to the IDUB Project Office for approval (confirmation of funding source).

§ 7 Settlement of grants

1. The Competition Beneficiary is obliged to account for the financial and substantial aspects of the awarded grant.

- 2. Substantive settlement should be understood as the submission of a final report to the Project Manager within 2 months of the end of financing, as well as a mid-term report within 5 months of obtaining the grant, in which the progress of the research work is presented.
- 3. The final report should document, among other things:
 - 1) confirmation of submission of a national or international grant application (e.g., NSC, NCRD, ERC, Horizon Europe or other) from the area of the appropriate POB,
 - 2) confirmation of submission and review of at least two scientific articles in journals ranked in the upper quartile (Q1-1st quartile) according to the Scopus database.
- 4. The acceptance of the mid-term report by the Committee does not constitute the final evaluation of the mini-grant implementation for the period. The final assessment is made on the basis of the final report.
- 5. The Committee may withhold Mini-grant funding pending the resolution of concerns in the case of:
 - a. any irregularities in the Mini-grant realization
 - b. failure to submit the interim report on time; submission of an incomplete or incorrect midterm report,
 - c. funds are not spent according to the application, or the planned results are not achieved at a given stage of Mini-grant realization.
- 6. The Committee may discontinue mini-grant funding in the case of:
 - a. irregularities in the realization of the project,
 - b. failure to submit the mid-term report on time, submission of an incomplete or incorrect report, despite the lapse of 30 days from the request to submit, complete or correct it,
 - c. expenditures significantly fail to comply with the declared values.
- 7. The lack of timely or correct financial and substantive clearance is a sufficient reason to refuse processing of subsequent applications submitted by a given AGH organizational unit (chairs/institutes).
- 8. Substantive effects of the Competition are monitored by the Project Management Team, and conclusions are presented to the IDUB Programme Council.

§8 Final provisions

- 1. In the matters set out in these Regulations, internal decisions (the so-called decisions) are issued which do not have a local character of administrative decisions.
- 2. Any violation of the Regulations by the Competition Beneficiary will result in his/her exclusion from the Competition.
- 3. The provisions of the Regulations constitute the basis for the conduct of the Competition, and their interpretation rests with the Committee.
- 4. The Organizer shall not be responsible for applications that have not reached him for reasons beyond his control.
- 5. The Organizer is not responsible for any false data given by the participants. Providing false data will result in deprivation of the right to grant funds.
- 6. 6. These Regulations are the only document defining the rules of participation in the Competition.
- 7. During the Competition, the Organizer reserves the right to change the provisions of the Regulations at any time.
- 8. Any matters not covered by the Competition Regulations are governed by generally applicable provisions of the Polish Law and the AGH legal acts.
- 9. The Regulations become effective upon their announcement.